

**Office of Science
Financial Assistance
Funding Opportunity Announcement
DE-FOA-0000412**

FY2011

***Annual Notice
Submission of Renewal and Supplemental Applications
for the Office of Science Grants and Cooperative
Agreements***

SUMMARY:

The Office of Science of the Department of Energy hereby announces its continuing interest in receiving grant applications for support of work in the following program areas: Advanced Scientific Computing Research, Basic Energy Sciences, Biological and Environmental Research, Fusion Energy Sciences, High Energy Physics, Nuclear Physics, and Workforce Development for Teachers and Scientists. On September 3, 1992, DOE published in the Federal Register the Office of Energy Research Financial Assistance Program (now called the Office of Science Financial Assistance Program), 10 CFR Part 605, Final Rule, which contained a solicitation for this program. Information about submission of applications, eligibility, limitations, evaluation and selection processes and other policies and procedures are specified in 10 CFR Part 605.

Submission to Funding Opportunity Announcement (FOA) DE-FOA-0000412 will require completion of the Grants.gov R&R forms. The correct application package will be attached to the FOA in Grants.gov. Because the SF-424 form has no specific section on the form that requests the grant number, the grant number should be entered into block #4 (Federal Identifier) and the type of submission (Renewal or Supplemental) typed beside it.

The abstract and budget justification must be attached as separate files. The narrative should be attached as a third, single file, with all other components such as Facilities & Equipment, Current & Pending Funding, CVs, and Bibliographies, etc., included.

APPLICATION DUE DATE: September 30, 2011 - 8:00 pm Eastern Time

This FOA will remain open until September 30, 2011, 8:00 PM Eastern Time, or until it is succeeded by another issuance, whichever occurs first. This annual FOA DE-FOA-0000412 succeeds FOA DE-FOA-0000179, which was published December 4, 2009.

IMPORTANT SUBMISSION INFORMATION:

The full text of the Funding Opportunity Announcement (FOA) is located on FedConnect. Instructions for completing the Grant Application Package are contained in the full text of the FOA which can be obtained at: <https://www.fedconnect.net/FedConnect/?doc=DE-FOA-0000412&agency=DOE>. To search for the FOA in FedConnect click on "Search Public Opportunities". Under "Search Criteria", select "Advanced Options", enter a portion of the title "Annual Notice Submission of Renewal and Supplemental Applications for the Office of Science Grants and Cooperative Agreements", then click on "Search". Once the screen comes up, locate the appropriate FOA.

In order to be considered for award, Applicants must follow the instructions contained in the Funding Opportunity Announcement.

Applications must be submitted using Grants.gov, the Funding Opportunity Announcement can be found using the CFDA Number, 81.049 or the Funding Opportunity Announcement number, DE-FOA-0000412. Applicants must follow the instructions and use the forms provided on Grants.gov.

PROGRAM MANAGER CONTACTS:

Questions regarding the specific program areas/technical requirements should be directed to the points of contact listed for each program office within the FOA and not to the FOA Administrative Contact.

SUPPLEMENTARY INFORMATION:

DOE will accept renewal and supplemental applications under this FOA. Renewal applications are requests for additional funding for a period subsequent to that provided by a current award. Renewal applications compete with all other applications and must be submitted by any established due date/deadline or at least six months before additional funding is required if there is no specified due date/deadline. In preparing a renewal application, applicants should assume that reviewers will not have access to previous applications. The application should be developed as fully as though the applicant were applying for the first time. The application must include all the information required for a new project, plus the project narrative section should discuss the results from prior work.

RENEWAL APPLICATION INSTRUCTIONS:

Renewal applications are requests for additional funding for a period subsequent to that provided by a current award. Renewal applications compete with all other applications and must be submitted through Grants.gov at least **six months before** the scheduled expiration of the current award's project period. Earlier submission is **strongly encouraged** to allow for timely processing.

In preparing a renewal application, applicants should assume that reviewers will not have access to previous applications. The application should be developed as fully as though the applicant were applying for the first time. The application must include all the information required for a new project; additionally, the project narrative section should discuss the results from prior work.

Renewal applications must include the same forms and information categories as a new application, except for the following changes:

1. Include under the project description section information on any changes that affect the overall direction of the research being pursued.
2. Include an estimate of anticipated unexpended funds that will remain at the end of the current project period.
3. Include a progress report as a separate section that describes the results of work accomplished through the date of the renewal application and how such results relate to the activities proposed to be undertaken in the renewal period.

A renewal application generally will be subjected to the Office of Science merit review requirements. Should an application be approved and funded, the extended period of support is treated as an extension of the original project period.

Applicants should contact the appropriate DOE Program Manager or see the SC Program Website to determine if additional, program-specific guidance and/or limitations exist for the submission of renewal applications.

SUPPLEMENTAL APPLICATION INSTRUCTIONS:

A supplemental application should be submitted through grants.gov no later than six months before the scheduled expiration of the project period.

Two types of supplemental applications may be submitted:

1. If the grantee is requesting support for a new task or activity to be added to the approved project, a supplemental application shall contain the same information categories as a new application. These applications will undergo merit review and will compete for funding with other new applications.

2. If the awardee needs additional funds:

- a. for increased costs that could not have been predicted when the application was originally approved; or
- b. to increase the "level of effort" or accelerate the project with no change to the project description as contained in the approved application.

A supplemental application, completed and submitted by the appropriate official, shall contain the following:

Forms as instructed in Grants.gov and an explanation of the need for the additional funding.

Applicants should contact the appropriate DOE Program Manager or see the SC Program Website to determine if additional, program-specific guidance and/or limitations exist for the submission of supplemental applications.

The Catalog of Federal Domestic Assistance number for this program is 81.049, and the solicitation control number is ERFAP 10 CFR Part 605.